

# CAIR2 HL7 v2.5.1

# Bi-Directional (QBP/RSP) Implementation Guide

**California Immunization Registry** 

## Version 2.0

Consistent with
HL7 Version 2.5.1 Implementation Guide
for Immunization Messaging, Release 1.5
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# **Revision history**

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Nickell			
E. Dansby	August 9, 2017	1.01	Updated Sample QBP/RSP messages
			Added text to MSH table (pg9)
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			Added LOINC codes for the OBX segments
			Updated the example QBP/RSPs in Appendix
			Removed the outdated Addendum
			Grammar and format edits

# **CAIR Data Exchange Contact Information:**

Please check the CAIR <u>Local Data Exchange Contacts</u> page to find the contact information for each CAIR regional registry

For data exchange questions and support, please email <a href="mailto:CAIRDataExchange@cdph.ca.gov">CAIRDataExchange@cdph.ca.gov</a>

To get the latest information regarding data exchange with CAIR2, please visit the CAIR2 Data Exchange Page.

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#### **CAIR2 Overview**

The California Immunization Registry (CAIR2) is a secure, confidential, statewide computerized immunization information system for California residents. The goal of CAIR2 is to improve immunization coverage rates to protect all Californians from vaccine-preventable diseases. CAIR2 is a collaborative effort involving regional immunization registry services, with the support of their local health departments, the California Department of Public Health Immunization Branch, and a spectrum of key stakeholders across the state.

AB1797, a new California law effective January 1, 2023 requires providers to enter immunizations they administer as well as a patient's race and ethnicity into a California immunization registry (CAIR or RIDE/Healthy Futures). For additional information, see the AB1797 Immunization Registry FAQs. CAIR2 is open to healthcare providers, schools, childcare facilities, county welfare departments, family childcare homes, foster care agencies, WIC service providers, and health care plans. To participate, users must sign a confidentiality agreement stating they will maintain the confidentiality of the patient immunization information and will only use the information to provide patient care or to confirm that childcare or school immunization requirements have been met.

# **Bi-directional Data Exchange (BiDX)**

The bi-directional HL7 messaging capability in CAIR2 allows medical care providers to send an HL7 QBP ('query by parameter') patient query message and receive in return an HL7 RSP ('response') message containing either a patient immunization history or an evaluated patient history and forecast.

A formal testing and 'onboarding' process for BiDX will be required. Sites interested in BiDX (including data aggregators/HIEs) will be required to complete the CAIR2 Bi-directional Data Exchange Interest/Readiness Survey. Please consult with your EHR vendor support as to the BiDX readiness of your EHR. This document summarizes the formatting specifications for BiDX messages sent to CAIR2.

#### Value of Historical Data

To get the most value from the BiDX process, each site should consider submitting all active patient historical doses to CAIR so that patient vaccination recommendations returned to your EHR from CAIR2 will be correct. One option would be to have your vendor set up your EHR

system to send full patient histories when your site submits VXU message to CAIR2 for a period of 6-12 mos. Another option would be to submit bulk VXU historical data. Consult with one of our CAIR Data Exchange Specialist about submitting prior immunizations records (historical data) for your active patients.

## **CAIR2 Bi-Directional Implementation Guide**

This bi-directional (query/response) specification document was written as an "easy to read and implement" guide. It should be used in conjunction with the CAIR2 HL7 v2.5.1 VXU Implementation Guide for VXU submissions. The finer details and explanations of HL7 have been simplified. This guide is intended to provide the necessary information for the bi-directional exchange of immunization records between CAIR2 and external health providers.

The recommendations listed in this implementation guide are in line with the CDC document - HL7 Version 2.5.1: Implementation Guide for Immunization Messaging, Release 1.5. All "HL7-defined" and "User defined" tables referenced in this guide can be found in Appendix A of the CDC document.

## **Bi-Directional Data Exchange Overview**

CAIR2 supports real-time immunization record query messages (QBP) and returns immunization histories or immunization histories plus forecasting in a response file (RSP). Batch queries are not yet supported by CAIR2. The SOAP operation, "submitSingleQuery" must be used when submitting QBPs to CAIR2.

The bi-directional 'onboarding' process will involve sites submitting a series of patient 'test' queries to the CAIR2 Test environment using either the **CAIR2 TEST WSDL** or the **CAIR2 TEST Endpoint** and confirming the response using a patient key that the information returned by CAIR2 to their EHR is correct. See the CAIR2 Test Plan document for onboarding details found here.

# **Query Message Profile Types**

CAIR2 supports two types of query message profiles; Z34 – Request Complete Immunization History, and Z44 – Request Evaluated Immunization History and Forecast. The breakdown for these profiles can be found in the following table. Please consult with your vendor as to which message type is the most appropriate for your clinic. For example, if your EHR has its own vaccine forecaster, you will probably wish to send QBP messages using the Z34 profile.

Туре	Query Z34 profile	Query Z44 profile
Query Name	Request Complete Immunization History	Request Evaluated Immunization History and Forecast
MSH-9 Value	QBP^Q11^QBP_Q11	QBP^Q11^QBP_Q11
QPD-1 Value	Z34^Request Complete Immunization History^HL70471	Z44^Request Evaluated History and Forecast^HL70471
Purpose	The purpose is to request a complete immunization history for one client.	The purpose is to request an evaluated immunization history and forecast for one client
Characteristics	A complete immunization history consists of:  • Demographic information about the patient • History of immunizations administered.	An evaluated immunization history and forecast consists of:  • Demographic information about the patient  • History of immunizations administered.  • Forecast of what immunization the patient is due to receive next and the dates when due.*
Response Characteristics	<ul> <li>In the case where no candidates are found, the acknowledgement response will indicate that no candidates were found.</li> <li>In the case where exactly one high-confidence</li> </ul>	<ul> <li>In the case where no candidates are found, the acknowledgement response will indicate that no candidates were found.</li> <li>In the case where exactly one high-confidence candidate is</li> </ul>

Туре	Query Z34 profile	Query Z44 profile		
Туре	candidate is found, an immunization history may be returned.  In the case where at least one lower confidence match is found but is less than the maximum number allowed in the query, then the response will return one PID with associated PD1 and NK1 segments for each potential match. No immunization history is returned. This allows for a re-query by the initiating system.  If more than the maximum number is allowed is found, the acknowledgement response will indicate too many matches and no records will be returned.  In the case where one high confidence candidate is found, but that candidate does not allow sharing of data, the acknowledgement response will return "PD" (protected data) in QAK-2 (See Addendum). No records will be returned.  In the case where CAIR2	found, an evaluated immunization history and forecasting will be returned.  In the case where at least one lower confidence match is found but is less than the maximum number allowed in the query, then the response will return one PID with associated PD1 and NK1 segments for each potential match. No immunization history is returned. This allows for a re-query by the initiating system.  If more than the maximum number is allowed is found, the acknowledgement response will indicate too many matches and no records will be returned.  In the case where one high confidence candidate is found, but that candidate does not allow sharing of data, the acknowledgement response will return "PD" (protected data) in QAK-2 (See Addendum). No records will be returned.  In the case where CAIR2 can't		
	can't process the query, CAIR2 will indicate an error	process the query, CAIR2 will indicate an error in the		
	in the acknowledgement.	acknowledgement.		

\*To get the most value from the BiDX process, each site should consider **submitting all active** patient historical doses to CAIR so that patient vaccination recommendations returned to your EHR will be correct.

# **HL7 QBP / RSP Message Structure**

CAIR2 supports real-time immunization record query messages (QBP) and will return immunization histories (Z34 Profile) or immunization histories plus forecasting (Z44 Profile) in a response file (RSP). The following tables show the message grammar of the QBP and RSP message types.

# QBP – Query By Parameter message grammar

Segment	Cardinality	Description	Usage	Notes
MSH	[11]	Message Header	R	Every message begins with an MSH.
QPD	[11]	Query Parameter Definition	R	
RCP	[11]	Response Control Parameter	R	The RCP segment is used to restrict the amount of data that should be returned in response to a query.

# RSP – Query Response Profile message grammar

Segment	Cardinality	Description	Usage	Notes
MSH	[11]	Message Header	R	Every message begins with an MSH.
MSA	[11]	Message Acknowledgment	R	Values returned: AA, AE, AR
[ERR]	[0*]	Error Segment	RE	If an error exists, then this segment is populated. Each error will have its own ERR segment.
QAK	[11]	Query Acknowledgement	R	
QPD	[11]	Query Parameter Definition	R	Query Parameter Definition segment matches the information in the requesting QBP message.
Ι{	[0*]		0	Begin Response Group If a query errors out or if no matching persons are found, the segments in the Response Group will not be returned.

Segment	Cardinality	Description	Usage	Notes
[{	[0*]		О	Begin patient identifier Group
PID	[11]	Patient Identifier	R	
[PD1]	[00]	Patient Demographic	R	
[{NK1}]	[00]	Next of Kin	RE	
}]				End Patient Identifier
1	[01]		О	Begin Immunization History Group
[{	[0*]		RE	Begin Order Group
ORC	[11]	Order Request	R	Required if the patient has immunization records (RXA). There is one ORC for each RXA.
RXA	[11]	Pharmacy / Treatment Administration	R	
[RXR]	[01]	Pharmacy / Treatment Route	RE	
[{	[0*]		RE	Begin Observation Group
ОВХ	[1*]	Observation	R	
}]				End observation
}]				End Order
]				End Immunization History
}]				End Response Group

RSP segments that are not supported by CAIR2 are not shown in the above table.

Note: [XYZ]-Square brackets enclose optional segments {XYZ}-Curly brackets enclose segments which can be repeated [{XYZ}]-Defines an optional segment which can be repeated

# **Usage Definitions**

Symbol	Definition	
R Required		
RE	Required but may be empty	
0	Optional	
Х	Not supported in this guide	

# **Query By Parameter (QBP): Segment Details**

# **MSH: Message Header Segment**

The Message Header (MSH) segment is required for each QBP sent.

Position	Field Name	CAIR2	Comments
		Usage	
1	Field separator	R	The MSH-1 field shall be
2	Encoding characters	R	The MSH-2 field shall be ^~\&
3	Sending application	RE	
4	Sending facility	R	Sending facility ID supplied by CAIR.
5	Receiving application	RE	
6	Receiving facility	RE	If supplied, 'CAIR2' expected
7	Date/time of message	R	
8	Security	0	
9	Message type	R	QBP^Q11^QBP_Q11
10	Message control id	R	Used to tie acknowledgment to message.
			Must be unique for each message
11	Processing id	R	MSH-11 field shall be 'P'
12	Version id	R	2.5.1
13	Sequence number	0	
14	Continuation pointer	0	
15	Accept	R	ER
	acknowledgment type		
16	Application	R	AL
	acknowledgment type		
17-20		Χ	Ignored
21	Message Profile	RE	Z34^CDCPHINVS or Z44^CDCPHINVS
	Identifier		(If sent, value must match with value
			supplied in QPD-1)
22	Sending Responsible	RE	CAIR Org Code of site initiating the QBP
	Organization		
23	Receiving Responsible	RE	If supplied, 'CAIR' expected
	Organization		

## **MSH Field Usage Notes**

#### **MSH-1: Field Separator**

CAIR2 expects to receive standard character: '|'

**Note:** The CDC Immunization Guide requires senders to only use the standard character.

#### **MSH-2: Encoding Characters**

CAIR2 expects standard encoding characters: ^~\&

**Note:** The CDC Immunization Guide requires senders to only use the standard characters.

#### **MSH-4: Sending Facility ID**

The CAIR2 sending facility ID is assigned automatically after registering through the Immunization Messaging Portal. The ID will be sent to the Site in a secure email. Data submitters will place their assigned CAIR2 Site ID in MSH-4. This assigned ID should be used for all messages sent.

Position	Field Name	Usage
1	namespace id	R
2	universal id	RE
3	universal id type	RE

## MSH-7: Date/Time of Message

The date and time the message was created. This field is required.

Format: YYYYMMDDHHMMSS

If sending more than 14 digits, the following format is required:

YYYYMMDDHHMMSS[.S[S[S[S]]]][+/-ZZZZ]

Example: 19970716192030.45+0100

#### MSH-9: Message Type

This field contains the type of message being sent.

Message type: QBP Trigger event: Q11

Message structure: QBP\_Q11

Position	Field Name	Usage
1	message type	R
2	trigger event	R
3	message structure	R

#### MSH-10: Message Control ID

The Message Control ID is a unique id for the message that is generated by the sending system. This field is used to tie the acknowledgement to the message. Format: STRING

### **MSH-11: Processing ID**

Only value accepted is "P" for production. All other values will cause the message to be rejected.

#### **MSH-12: Version ID**

Value: **2.5.1** 

### MSH-15: Accept Acknowledgment Type

Per the CDC Implementation Guide, this field shall be constrained to a value of "ER" - Error

### MSH-16: Application Acknowledgment Type

The value in MSH-16 shall be constrained to "AL" – Always. If MSH-16 is empty or contains a value other than 'AL' type, MSH-16 will be treated as if "AL" was sent, and no error will be returned.

## MSH-21 - Message Profile Identifier

MSH-21 Value	Description	
Z34^CDCPHINVS	Request Complete Immunization History	
Z44^CDCPHINVS	Request Evaluated Immunization History	
	and Forecast	

## **MSH-22: Sending Responsible Organization**

The CAIR2 org code for the location that initiated the QBP.

**QPD: Query Parameter Definition Segment** 

Position	Field Name	CAIR2 Usage	Value or description
1	Message Query Name	R	Z34^Request Complete Immunization History^HL7047 or Z44^Request Evaluated History and Forecast^HL70471
2	Query Tag	R	Unique to each query message instance. Valued by the HL7 data submitter.
3	Patient List	RE	PID-3: Patient Identifier (i.e. MRN)
4	Patient Name	R	PID-5: Patient Name
5	Patient Mother Maiden Name	RE	PID-6: Mother's Maiden Name
6	Patient Date of Birth	R	PID-7: Patient Date of Birth
7	Patient's Sex	RE	PID-8: Patient Sex
8	Patient Address	RE	PID-11: Patient Address
9	Patient Home Phone	RE	PID-13: Patient Home Phone
10	Patient Multiple Birth Indicator	RE	PID-24: Patient Multiple Birth Indicator
11	Patient Birth Order	RE	PID-25: Patient Birth Order
12	Client Last Updated Date	Х	PID-33: Patient Last Updated Date
13	Client Last Update Facility	Х	PID-34: Patient Last Update Facility

Note: The likelihood of finding a particular person is improved when all known parameters are populated. Requesting systems should strive to include values for each query parameter.

# **QPD Field Usage Notes**

## QPD-1: Message Query Name

This field contains the name of the query. Two types of queries are accepted in this field.

Value	Description
Z34^Request Complete Immunization	Request Complete Immunization History
History^HL7047	
Z44^Request Evaluated History and	Request Evaluated Immunization History
Forcast^HL70471	and Forecast

#### **QPD-2: Query Tag**

This is a required field. It must be valued by the HL7 Data Partner's system to identify the query and may be used to match response messages to the originating query. This query tag must be unique to each query message instance.

#### **QPD-3: Patient List**

This field contains identifiers that are intended to allow unique identification of the patient Multiple identifiers are accepted in this filed. The format of this field follows the same format of the PID-3 field in a VXU message. If the patient identifier (MRN) submitted in the QBP contains only numeric characters, is an exact match with the patient's name and DOB found in CAIR2, and the MRN was originally loaded into CAIR2 (via DX or UI) by the same CAIR org code in MSH-22 of the requesting QBP, an exact patient match should be returned.

#### **QPD-4: Patient Name**

This field contains the patient's legal name. Both the patient last/family name and patient first/given name are required. Middle name is not required. This field follows the format of the PID-5 field in a VXU message. Example: |Last^First^Middle^^^^L|

#### **QPD-5: Patient Mother Maiden Name**

This field contains the maiden name of the patient's mother. This field follows the format of the PID-6 field in a VXU message. Only the last/family name (QPD-5.1) is used when searching for matching patients.

#### QPD-6: Patient Date of Birth

This field contains the patient's date of birth. The format of this field follows the format of the PID-7 field in a VXU message. **Example: |YYYYMMDD|** 

#### QPD-7: Patient Sex

This field contains the patient's sex. The format of this field follows the format of the PID-7 field in a VXU message. All Administrative Sex codes (F, M, X, U) are accepted.

#### **QPD-8: Patient Address**

The patient's address is sent in this field. If the field is valued, the requirements below must be followed.

Position	Field	CAIR2 Usage
1	street address	R
2	other designation	RE
3	city	R
4	state or province	R
5	zip or postal code	R
6	country	optional
7	address type	RE
8	other geographic designation	ignored
9	county/parish code	optional

Example: |555 W First Ave^Suite 200^Anywhere^CA^12345^^P|

#### **QPD-9: Patient Home Phone**

This field contains the patient's home phone number. Only the first phone number will be used as part of the matching algorithm. All other phone numbers will be ignored. The format of this field follows the format of the PID-13 field in a VXU message. **Example:** 

|^PRN^PH^^^555^555555|

## **QPD-10: Multiple Birth Indicator**

This field indicates whether the patient was part of a multiple birth. If the status is undetermined, then the field should be empty.

Value	Description
Υ	The patient was part of a multiple birth
N	The patient was a single birth

#### QPD-11: Birth Order

If the patient is part of a multiple birth, a value (number) indicating the patient's birth order is entered in this field. If PID-24 is populated with a 'Y', then this field shall be populated.

# **RCP: Response Control Parameter Segment**

Position	Field Name	CAIR2 Usage	Value or description
1	Query Priority	RE	If this field is not valued, then it shall default to "I".
2	Quantity Limited Request	RE	The maximum number of patients that may be
3	Response Modality	0	returned.  Default is "R" (Real-time).

## **RCP Field Usage Notes**

#### **RCP-1: Query Priority**

This field contains the time frame that the response from a query is expected. If RCP-1 is empty or contains another value other than "I" (immediate), CAIR2 will ignore the field and will process the message as if "I" was sent.

#### **RCP-2: Quantity Limited Request**

This field is the maximum total records CAIR2 should return. A numerical value is given in the first component and the units are specified in the second component. **The units in the second component shall be 'RD'**. Empty component or another value submitted, other than a number, will cause the QPB to fail. **Example of RCP-2 formatting: |5^RD|** 

#### **RCP-3: Response Modality**

This field is optional. The field specifies the timing and grouping of the response message. RCP-3 shall be "R" for real-time. CAIR2 HL7 Web Service does not support batch processing. If the field is empty or another value submitted, CAIR will ignore and process as if "R" was sent.

# **Query Response (RSP): Segment Details**

A properly formatted QBP message will return an RSP response message using the Z31, Z32, Z33, or Z42 profile.

Note: The below details of the RSP segments, are the details and/or values returned by CAIR2 in the query response. These segments and fields are populated by CAIR2 and are not populated by the data exchange submitter. Segment details not listed below can be found in the CAIR HL7 v2.5.1 VXU Implementation Guide.

## **MSH: Message Header Segment**

Please see MSH field definitions beginning on page 8 of this guide. The below MSH fields notes are changes from what is submitted by the submitting site in the MSH of the QBP message.

### **MSH-3: Sending Application**

The value returned is: CAIR IIS

## **MSH-4: Sending Facility**

The value returned is: CAIR IIS

## **MSH-6: Receiving Application**

CAIR2 will value this field with what was provided in MSH-22.1 of the corresponding QBP.

## MSH-9: Message type

The field contains the type of message being returned to the submitter.

Message type: RSP Trigger event: K11

Message structure: RSP\_K11

### MSH-21: Message Profile Identifier

MSH-21 Value	Description
Z31^CDCPHINVS	Return List of Candidates
Z32^CDCPHINVS	Return Immunization History
Z33^CDCPHINVS	No Patient Match Found or Too Many
	Matches Found
Z42^CDCPHINVS	Return Immunization History and
	Immunization Forecasting

## MSH-23: Receiving Responsible Organization

CAIR2 will value this field with what was provided in MSH-4.1 of the corresponding QBP.

# **MSA: Message Acknowledgement Segment**

Position	Field Name	CAIR2 Usage
1	Acknowledgment Code	R
2	Message Control ID	R

# **MSA Field Usage Notes**

## **MSA-1: Acknowledgment Code**

This field contains an acknowledgment code from table HL70008. In MSA-1 only the value shown in the table will be used.

Value (MSA-1)	Description	Comment
AA	Application Accept	QBP message was accepted without error
AE	Application Error	QBP message was parsed as a query and contains non-fatal (or fatal) errors.
AR	Application Reject	Message was rejected because one of the following occurred:  • Unsupported message type • Unsupported event code • Unsupported processing ID  Unable to process for reasons unrelated for format or content

## **MSA-2: Message Control ID**

This field contains the message control ID of the message sent by the sending system. It allows the sending system to associate this response with the message for which it is intended. **This** field echoes the message control id sent in MSH-10 by the initiating system.

## **ERR: Error Segment**

An ERR segment will be returned in the response if there are any errors encountered with the QBP message. The format of the ERR segment follows the same formatting as the ERR segment in a standard ACK for a VXU message. For details on the ERR segment, please see page 50 of the CAIR2 HL7 v2.5.1 VXU Implementation Guide.

## **QAK: Query Acknowledgement Segment**

Position	Field Name	CAIR2 Usage	Value or description
1	Query Tag	R	Value sent by Data Exchange Partner in QPD-2 (query tag) of the corresponding QBP message
2	Query Response Status	R	
3	Message Query Name	0	

## **QAK Field Usage Notes**

#### **QAK-1: Query Tag**

This field contains the value sent in QPD-2 (query tag) by the initiating system and will be used to match the response message to the originating query.

## **QAK-2: Query Response Status**

This field allows the responding system to return a precise response status.

The following table, User Defined Table 0208 contains the values that would be returned by CAIR2 in the QAK-2 field:

Value	Description	Comment
OK	Data found, no errors (this is the default)	Similar to AA in the MSA-1 field.
NF	No data found, no errors	Patient not found in CAIR2 based on query received.
TM	Too many candidates found	Too many possible matches to patient sent in the query.  Query must be narrowed down.
PD	Protected Data	Patient's data marked as 'Not Shared' in CAIR2

# **QAK-3: Message Query Name**

This field contains the name of the query. This shall mirror the QPD-1 (Message Query Name) found in the query message that is being responded to.

## **QPD: Query Parameter Definition Segment**

The Response QPD segment echoes back the information exactly as it was received in the QPD segment of the QBP request sent by the HL7 Data Exchange site. Please see QPD field definitions beginning on page 12 of this guide.

# **PID: Patient Identifier Segment**

The PID segment returned in the RSP follows the same basic formatting as that found in the VXU message profile. Below are the details of the PID segment. Field examples are provided below the table, where necessary.

		CAIR	
Position	Field Name	Usage	Comment
1	Set id	R	If only one PID returned, the Set ID shall be '1'
2	Patient id	X	Ignored
3	Patient identifier list	R	Returned
4	Alternative patient id	X	Ignored
5	Patient name	R	Returned
6	Mother's maiden name	RE	Returned if present. First name only, maiden name only, or both first and maiden name may be returned.
7	Date/time of birth	R	Returned
8	Sex	R	Returned. Only (M/F/X/U)
9	Patient alias	RE	Returned if present
10	Race	RE	If more than one race is indicated in CAIR2, the codes will be separated by a tilde (~).
11	Patient address	RE	Returned if present.
12	County code	Х	Ignored
13	Phone number - home	RE	Only the phone number designated as the 'home' phone in CAIR2, is returned.
14	Phone number - business	X	Ignored
15	Primary language	RE	Returned if present. Only ENG or SPA is returned
16-21		Х	ignored
22	Ethnic group	RE	Returned if present. Only the ethnicity code is returned
23	Birth place	Х	ignored
24	Multiple birth indicator	RE	Returned if present
25	Birth order	RE	If PID-24 = 'N', '0' is returned

		CAIR	
Position	Field Name	Usage	Comment
26 - 28		Х	ignored
			Returned if present. PD1-16 must be
29	Patient death date and time	RE	valued with a 'P'
30	Patient death indicator	Х	ignored

#### PID-3: Patient Identifier List

The PID-3 field will echo back the patient identifier submitted in the QPD of the QBP, along with the patient's CAIR2 ID number (State Registry (SR) ID number). If the patient identifier submitted doesn't exist in CAIR2, or the patient identifier is associated to another provider site other than the site submitting the query, then only the CAIR2 SR ID will be returned in PID-3.1 of the RSP.

#### PID-3 Examples:

- Only CAIR2 patient ID returned: |123456^^^CAA^SR|
- CAIR2 patient ID and Patient MRN returned: |123456^^^CAA^SR~2178167^^^CAIR^MR|

#### PID-10 - Patient Race

Format when multiple races in CAIR2:

|2028-9^Asian^CDCREC~2054-5^Black or African-American^CDCREC~2037-0^Hmong^CDCREC|

Race codes that may be returned by CAIR2 can be found in the Appendix of the CAIR2 HL7 VXU Implementation Guide.

#### **PID-11 -Patient Address**

Format: |Address1^OtherDesignation^City^CA^Zip^^Address Type^^FIPS County Code| Example: |1235 ANYWHERE STREET^^COARSEGOLD^CA^93614^^H^^CA039|

#### PID-13: Phone number – home

Only the patient's phone number designated as the home phone in CAIR2 is returned in the PID-13 field. Patient's mobile phone and email stored in CAIR2 are not returned.

• Example: |^PRN^H^^^555^2224444|

# **PD1: Patient Demographic Segment**

The Patient Demographic segment contains patient demographic information that may change from time to time. There are three primary uses for PD1 in immunization messages. These

include indicating whether the person wants to receive recall/reminder notices, whether the person wants his/her data protected, and the person's status in the registry.

Position	Field Name	CAIR Usage	Comment
1 -10		Х	Fields 1 – 10 not returned
11	Publicity code	R	Value will be "01" – No Reminders or "02" – Reminders Ok.
12	Protection indicator	R	N or Y returned
13	Protection indicator effective date	Х	Date will not be returned.
14-15		X	Ignored
16	Immunization registry status	R	Values found in User Defined Table 0441 of CDC guide
17	Immunization registry status effective date	Х	Date will not be returned

# **NK1: Next of Kin Segment**

The NK1 segment contains information about the patient's other related parties. For NK1 segment details, please see the NK1 segment pages in the CAIR2 HL7 v2.5.1 VXU Implementation Guide. NK1 segments will not be sent if NK1-1 thru NK1-3 values are not present in CAIR2.

Position	Field Name	CAIR Usage	Comment
Position	Fleid Name	Usage	First instance will be valued '1', the second, '2',
1	Set id - NK1	R	and so on.
2	Name	R	Follows the name field format
3	Relationship	R	Only the relationship identifier is returned
	•		Follows the PID-11 address format, but does not
4	Address	RE	contain the FIPS county code
			Follows the PID-13 format but does not contain a
5	Phone number	RE	value in PID-13.2.

# **ORC: Order Request Segment**

The Order Request Segment is used to transmit fields that are common to all orders. If a patient has an immunization history, the RSP returned by CAIR2 will include an ORC segment and RXA segment combination for each immunization. Only the below fields are returned in the ORC segment of the RSP.

		CAIR2	
Position	Field Name	Usage	Comment
1	Order Control	R	Shall be constrained to "RE"
2	Placer Order Number	Х	
3	Filler Order Number	R	This field will be valued with the CAIR2 unique
			identifier for the immunization record. ORC-3
			will be valued with a "0" in the ORC segment
			directly before the immunization forecasting.

# **RXA: Pharmacy/Treatment Administration Segment**

The RXA segment carries pharmacy administration data. The format of the RXA segment follows the same formatting as the RXA segment in a standard VXU message. Please see the CAIR2 HL7 VXU Implementation Guide for details on the RXA segment.

Position	Field Name	CAIR2 Usage	Comment
1	Give sub-ID counter	R	Constrained to "0" (zero)
2	Administration sub-ID counter	R	Constrained to "1"
3	Date/time start of administration	R	Returned. Follows the YYYYDDMM format
4	Date/time end of administration	R	Returned. Follows the YYYYDDMM format
5	Administered code	R	NDC or CVX code returned. CPT codes may also be returned in the second triplet if they are found in CAIR2.
6	Administered amount*	R	Returns a '1', meaning full dose. If dose is not a full dose, '999' will be returned.
7	Administered units**	Х	Not sent in the RSP
8	Administered dosage form	Х	ignored
9	Administration notes	R	Indicates either new or historical dose
			All values from CDC-defined table NIP001 will be returned.
10	Administering provider	Х	Not sent in the RSP
11	Administered-at location	R	The administered provider site name is returned in RXA-11.4
12-14		Х	Ignored
15	Substance lot number	RE	Returned if present and immunization is not

Position	Field Name	CAIR2	Comment
		Usage	
			historical
16	Substance expiration date	Х	Expiration date not returned
17	Substance manufacturer name	RE	Returned if present and immunization is not
			historical. (Ex: SKB^GlaxoSmithKline^MVX)
18	Substance refusal reason	Х	
19	Indication	Х	
20	Completion status	RE	CP returned for 'Completed' dose.
			PA returned for 'Partial' dose.
21	Action code	Х	Not returned

**Note**: For RXA segments associated with the forecasting/recommendations of the Z44 Profile, CAIR2 will value RXA-5 with **"998^No Vaccine Administered^CVX"** 

# **RXR: Pharmacy/Treatment Route Segment**

The Pharmacy/Treatment Route segment contains the alternative combination of route, site, administration device, and administration method that are prescribed as they apply to a particular order. For RXR segment details, please see page 45 of the CAIR2 HL7 v2.5.1 VXU Implementation Guide. If no route or site value exists in CAIR, the RXR segment will not be returned.

Position	Field	Status	
1	route	RE	Only the code values are returned
2	site	RE	Only the code values are returned

<sup>\*</sup>Updated in a future CAIR release: Administered amount in RXA-6 will return the value received by the submitter in the original VXU. If value received in the original VXU does not meet validation rules, a value of '1' will be returned in the RXA-6 of the RSP.

<sup>\*\*</sup> Updated in a future CAIR release: Administered Units in RXA-7.1 of the RSP will return the value received by the submitter in the original VXU. Accepted values are "mL", "mg", or "mcg". If original value sent falls outside of the accepted units, then the RXA-7.1 of the RSP will return, "mL".

# **OBX: Observation Segment**

In an RSP, the OBX segment carries observations associated with the RXA or the immunization record.

Position	Field Name	CAIR2 Usage	Comments
1	Set ID - OBX	R	
2	Value Type	R	
3	Observation Identifier	R	
4	Observation Sub-ID	R	
5	Observation Value	R	
6	Units	X	
7	Reference Ranges	X	
8	Abnormal Flags	X	
9	Probability	X	
10	Nature of Abnormal Test	X	
11	Observation Result Status	R	Constrained to "F" for Final

# **OBX Field Usage Notes**

#### **OBX-1: Set ID**

This field contains the sequence number of the OBX segment. The value of OBX-1 shall be valued sequentially, starting with the value "1" within an RSP message.

## **OBX-2: Value Type**

This field contains the format of the observation value in OBX-5.

#### **OBX-3: Observation Identifier**

This field contains a unique identifier for the observation. One way to look at this is OBX-3 poses the question and OBX-5 answers it. The table below lists the possible values for OBX-3 in the RSP, the corresponding data types for OBX-2 and the corresponding observation example or value for OBX-5.

LOINC Code For OBX-3.1	Description	Corresponding data type in OBX-2	Corresponding observation Example or Value in OBX-5
30973-2	Dose number in series	NM	1, 2, 3, etcIf vaccine dose is invalid in CAIR2, then the number '777' appears in OBX-5. If series is complete, then '999' appears in OBX-5.
30979-9	Vaccines due next	CE	CVX (e.g. 03^MMR^CVX)
30980-7	Date vaccine due	TS	YYYYMMDD format
30981-5	Earliest date to give	TS	YYYYMMDD format
30982-3	Reason applied by forecast logic to project this vaccine	CE	ACIP Schedule
38890-0	Component vaccine type	CE	CVX (e.g. 03^MMR^CVX) Will use the CVX codes described as 'unspecified formulation' as needed.
31044-1	Reaction	CE	e.g VXC11^Seizure^CDCPHINVS
59781-5	Dose validity	ID	Y^Dose is valid^HL700136
59782-3	Number of doses in primary series	NM	1, 2, 3, etc Will return '0' if dose is not part of a series.

NOTE: The following LOINC codes are currently ignored by CAIR2 but will be added to a future release of CAIR2 in 2025.

LOINC Code For OBX-3.1	Description
30945-0	Contraindications
59785-6	Indication of immunity
75505-8	Diseases with serological evidence of immunity

LOINC Code For OBX-3.1	Description
59784-9	Disease with presumed immunity
69764-9	Document type
30956-7	Vaccine type (Vaccine group or family)
38890-0	Component vaccine type
29768-9	Date Vaccine Information Statement published
29769-7	Date Vaccine Information Statement presented

# Z34/Z44 Example OBX-3 and OBX-5 values and formatting

OBX-3 Examples	Corresponding Example Value in OBX-5			
Z34/Z44 Profile -Patient Immunization History Section				
31044-1^Reaction^LN	VXC11^Seizure^CDCPHINVS			
38890-0^Component Vaccine Type^LN	17^Hib^CVX^90737^Hib^CPT			
30973-2^Dose number in series^LN	<ul> <li> 2  - for second dose in the series</li> <li> 777  - for invalid dose (not counted in series)</li> </ul>			
59781-5^Dose validity^LN	Y^Dose is valid^HL700136			
59782-3^Number of doses in primary series^LN	2			
Z44 Profile OBX segments returned – In	nmunization Forecasting Section			
30979-9^Vaccines Due Next^LN	45^HepB^CVX^90731^HepB^CPT			
30980-7^Date Vaccine Due^LN	20231204			
30973-2^Vaccine due next dose number^LN	3			
30981-5^Earliest date to give^LN	20231104			
30982-3^Reason applied by forecast logic to project this vaccine^LN	ACIP schedule			

#### **OBX-4: Observation Sub-ID**

This field is used to group related observations by setting the value to the same number.

#### **Example of shared sub-ID showing OBX segment grouping:**

OBX|41|CE|30979-9^Vaccines Due Next^LN|6|21^Varicella^CVX^90716^Varicella^CPT|||||F
OBX|42|TS|30980-7^Date Vaccine Due^LN|6|20110101|||||F

#### **OBX-5: Observation Value**

This field contains the observation answer to the question that is posed in OBX-3. For a list of observations returned by CAIR2, please see the field usage notes under OBX-3 above.

#### **OBX-11: Observation Result Status**

CAIR2 will value this field with "F" (Final)

#### **APPENDIX A:**

# Sample QBP and associated RSP messages

### **Z34 QBP message submitted**

MSH|^~\&|MYEHR|DE-

QPD | Z34^Request Immunization

History^HL70471|49006|6445857^^^IPI^SR|JONES^JUGHEAD^^^^||20200501|M|1235

ANYWHERE ST^^COARSGOLD^CA^93614

RCP|I|5^RD&records&HL70126|R^Real Time^HL70394

### RSP with one immunization history returned

- MSA-1 (Acknowledgement Code) = "AA" (QBP contained no errors)
- QAK-2 (Query Response Status) = "OK" (Match found and immunization history returned)

MSH|^~\&|CAIR IIS|CAIR IIS||DE-000002|20230612155607-

0700||RSP^K11^RSP\_K11|194|P|2.5.1|||NE|NE|||||Z32^CDCPHINVS|CAIR IIS|DE-000001

MSA|<mark>AA</mark>|194

QAK|49006|<mark>OK</mark>|Z34

QPD | Z34^Request Immunization

History^HL70471 | 49006 | 6445857^^^IPI^SR | JONES^JUGHEAD | | 20200501 | M

PID|1||6445857^^^CAA^SR~13579^^^CAA^PI||JONES^JUGHEAD^JAMES|SMITH^ROBERTA|2

0200501 | M | 2028-9^Asian^CDCREC~2054-5^Black or African-American^CDCREC~2037-

0^Hmong^CDCREC|1235 ANYWHERE

STREET^^COARSEGOLD^CA^93614^^H^^CA039||^PRN^H^^^555^2224444||ENG||||||PHC1 175||N|0

PD1|||||||02|N||||A

ORC|RE||28587305

RXA|0|1|20230612|20230612|03^MMR^CVX^90707^MMR^CPT|1.0|||00||^^^IR

Physicians | | | | MR5649 | | MSD^Merck and Co., Inc.^MVX | | | CP

RXR|SC|LT

OBX|1|CE|38890-0^COMPONENT VACCINE

TYPE^LN|1|03^MMR^CVX^90707^MMR^CPT||||||F

OBX|2|NM|30973-2^Dose number in series^LN|1|1|||||F

OBX|3|ID|59781-5^Dose validity^LN|1|Y^Dose is valid^HL700136|||||F

OBX|4|NM|59782-3^Number of doses in primary series^LN|1|2|||||F

#### **Z44 QBP message submitted**

MSH|^~\&|MYEHR|DE-

QPD | Z44^Request Immunization

History^HL70471|49006|6445857^^^IPI^SR|JONES^JUGHEAD^^^^||20200501|M|1235 ANYWHERE ST^^COARSGOLD^CA^93614

RCP|I|5^RD&records&HL70126|R^Real Time^HL70394

#### RSP showing one immunization history and immunization forecasting

- MSA-1 (Acknowledgement Code) = "AA" (QBP contained no errors)
- QAK-2 (Query Response Status) = "OK" (Match found and immunization history and forecasting returned)

MSH|^~\&|CAIR IIS|CAIR IIS||DE-000002|20230612160115-0700||RSP^K11^RSP K11|124|P|2.5.1|||NE|NE|||||Z42^CDCPHINVS|CAIR IIS|DE-000001 MSA|<mark>AA</mark>|124 QAK|49006|<mark>OK</mark>|Z44 QPD Z44^Request Immunization History^HL70471 | 49006 | 6445857^^^IPI^SR | JONES^JUGHEAD | | 20200501 | M | 1235 ANYWHERE ST^^COARSEGOLD^CA^93614 PID|1||6445857^^^CAA^SR~13579^^^CAA^PI||JONES^JUGHEAD^JAMES|SMITH^ROBERTA|2 0200501|M||2106-3^WHITE^CDCREC|1235 ANYWHERE STREET^^COARSEGOLD^CA^93614^^H^^CA039||^PRN^H^^^555^2224444||ENG||||||PHC1 175||N|0 PD1||||||||02|N||||A ORC|RE||28587305 RXA|0|1|20230612|20230612|03^MMR^CVX^90707^MMR^CPT|1.0|||00||^^^IR Physicians | | | | MR5649 | | MSD^Merck and Co., Inc.^MVX | | | CP RXR|SC|LT OBX|1|CE|38890-0^COMPONENT VACCINE TYPE^LN|1|03^MMR^CVX^90707^MMR^CPT|||||| OBX|2|NM|30973-2^Dose number in series^LN|1|1||||F OBX|3|ID|59781-5^Dose validity^LN|1|Y^Dose is valid^HL700136|||||F

OBX|4|NM|59782-3^Number of doses in primary series^LN|1|2|||||F

```
ORC|RE||0
RXA|0|1|20230612|20230612|998^No Vaccine Administered^CVX|999
OBX|5|CE|30979-9^Vaccines Due Next^LN|0|213^COVID-19^CVX||||||F
OBX|6|TS|30980-7^Date Vaccine Due^LN|0|20201101|||||F
OBX|7|NM|30973-2^Vaccine due next dose number^LN|0|1|||||F
OBX|8|TS|30981-5^Earliest date to give^LN|0|20201101|||||F
OBX|9|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|0|ACIP
schedule|||||F
OBX|10|CE|30979-9^Vaccines Due Next^LN|1|107^DTP/aP^CVX||||||F
OBX|11|TS|30980-7^Date Vaccine Due^LN|1|20200701||||||F
OBX|12|NM|30973-2^Vaccine due next dose number^LN|1|1||||F
OBX|13|TS|30981-5^Earliest date to give^LN|1|20200612|||||F
OBX|14|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|1|ACIP
schedule|||||F
OBX|15|CE|30979-9^Vaccines Due Next^LN|2|85^HepA^CVX^90730^HepA^CPT||||||F
OBX|16|TS|30980-7^Date Vaccine Due^LN|2|20210501||||||F
OBX|17|NM|30973-2^Vaccine due next dose number^LN|2|1|||||F
OBX|18|TS|30981-5^Earliest date to give^LN|2|20210501||||||F
OBX|19|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|2|ACIP
schedule|||||F
OBX|20|CE|30979-9^Vaccines Due Next^LN|3|45^HepB^CVX^90731^HepB^CPT||||||F
OBX|21|TS|30980-7^Date Vaccine Due^LN|3|20200501||||||F
OBX|22|NM|30973-2^Vaccine due next dose number^LN|3|1|||||F
OBX|23|TS|30981-5^Earliest date to give^LN|3|20200501||||||F
OBX|24|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|3|ACIP
schedule|||||F
OBX|25|CE|30979-9^Vaccines Due Next^LN|4|17^Hib^CVX^90737^Hib^CPT||||||F
OBX|26|TS|30980-7^Date Vaccine Due^LN|4|20210801||||||F
OBX|27|NM|30973-2^Vaccine due next dose number^LN|4|1|||||F
OBX|28|TS|30981-5^Earliest date to give^LN|4|20210801|||||F
OBX|29|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|4|ACIP
schedule|||||F
OBX|30|CE|30979-9^Vaccines Due Next^LN|5|88^Influenza-seasnl^CVX^90724^Influenza-
seasnI^CPT|||||F
OBX|31|TS|30980-7^Date Vaccine Due^LN|5|20220801||||||F
OBX|32|NM|30973-2^Vaccine due next dose number^LN|5|1|||||F
OBX|33|TS|30981-5^Earliest date to give^LN|5|20211101|||||F
```

```
OBX|34|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|5|ACIP
schedule|||||F
OBX|35|CE|30979-9^Vaccines Due Next^LN|6|03^MMR^CVX^90707^MMR^CPT||||||F
OBX|36|TS|30980-7^Date Vaccine Due^LN|6|20240501||||||F
OBX|37|NM|30973-2^Vaccine due next dose number^LN|6|0|||||F
OBX|38|TS|30981-5^Earliest date to give^LN|6|20230710|||||F
OBX|39|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|6|ACIP
schedule|||||F
OBX|40|CE|30979-9^Vaccines Due
Next^LN|7|133^PneumoConjugate^CVX^90670^PneumoConjugate^CPT|||||F
OBX|41|TS|30980-7^Date Vaccine Due^LN|7|20220501||||||F
OBX|42|NM|30973-2^Vaccine due next dose number^LN|7|1|||||F
OBX|43|TS|30981-5^Earliest date to give^LN|7|20220501||||||F
OBX|44|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|7|ACIP
schedule|||||F
OBX|45|CE|30979-9^Vaccines Due Next^LN|8|89^Polio^CVX||||||F
OBX|46|TS|30980-7^Date Vaccine Due^LN|8|20200701||||||F
OBX 47 NM 30973-2 Vaccine due next dose number LN 8 1 1 1 1 | 1 | 1 | F
OBX|48|TS|30981-5^Earliest date to give^LN|8|20200612||||||F
OBX|49|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|8|ACIP
schedule|||||F
OBX|50|CE|30979-9^Vaccines Due Next^LN|9|21^Varicella^CVX^90716^Varicella^CPT|||||F
OBX|51|TS|30980-7^Date Vaccine Due^LN|9|20230710||||||F
OBX|52|NM|30973-2^Vaccine due next dose number^LN|9|1|||||F
OBX|53|TS|30981-5^Earliest date to give^LN|9|20230710|||||F
OBX|54|CE|30982-3^Reason applied by forecast logic to project this vaccine^LN|9|ACIP
schedule|||||F<
```

# RSP showing a message processing error\*

- MSA-1 (Acknowledgement Code) = "AE"
- ERR-4 (Error Severity) valued with "E"
- QAK-2 (Query Response Status) = "NF" (no immunization data returned)

MSH|^~\&|CAIR IIS|CAIR IIS||DE-000002|20230612160412-0700||RSP^K11^RSP\_K11|14|P|2.5.1|||NE|NE|||||Z33^CDCPHINVS|CAIR IIS|DE-000001 MSA|AE|14 ERR||QPD^1^6|101^Required field missing^HL70357|E|6^Required observation missing^HL70533|||Message Rejected. Date of birth is a required field in QPD-6. Correct and resubmit.

QAK|49006|NF|Z44

QPD Z44^Request Immunization

History^HL70471|49006|6445857^^^IPI^SR|JONES^JUGHEAD|||M|1235 ANYWHERE ST^^COARSEGOLD^CA^93614

### RSP showing no patient found in the CAIR2 registry

- MSA-1 (Acknowledgement Code) = "AA" (QBP contained no errors)
- QAK-2 (Query Response Status) = "NF" (patient not found)

MSH|^~\&|CAIR IIS|CAIR IIS||DE-000002|20230612160636-

0700||RSP^K11^RSP\_K11|145|P|2.5.1|||NE|NE|||||Z33^CDCPHINVS|CAIR IIS|DE-000001 MSA|AA|145

QAK|56456|NF|Z44

QPD | Z44^Request Immunization

History^HL70471|49006|6445857^^^IPI^SR|JONES^RED||20200515|M|1235 ANYWHERE ST^^COARSEGOLD^CA^93614

## RSP showing too many patient matches found in the CAIR2 registry\*

- MSA-1 (Acknowledgement Code) = "AA" (QBP contained no errors)
- QAK-2 (Query Response Status) = "TM" (too many patient matches found)

MSH|^~\&|CAIR IIS|CAIR IIS||DE-000002|20230612160834-

0700||RSP^K11^RSP\_K11|114|P|2.5.1|||NE|NE|||||Z33^CDCPHINVS|CAIR IIS|DE-000001 MSA|<mark>AA</mark>|114

QAK|65489|<mark>TM</mark>|Z44

QPD Z44^Request Immunization

History^HL70471|49006|546123^^^IPI^MR|JONES^JUGHEAD||20200501|M|1235 ANYWHERE ST^^COARSEGOLD^CA^93614

#### RSP showing multiple patient matches returned

- MSA-1 (Acknowledgement Code) = "AA" (QBP contained no errors)
- QAK-2 (Query Response Status) = "OK"

MSH|^~\& |CAIR IIS|CAIR IIS||DE-000002|20230612160938-0700||RSP^K11^RSP K11|14|P|2.5.1|||NE|NE|||||Z31^CDCPHINVS|CAIR IIS|DE-000001 MSA|AA|14

QAK|696356|OK|Z44

QPD|Z44^Request Immunization

History^HL70471|49006|546123^^^IPI^MR|JONES^JUGHEAD||20200501|M|1235

ANYWHERE ST^^COARSEGOLD^CA^93614

PID|1|6445856^^^CAA^SR||JONES^JUGHEAD^JONATHAN|^ROBERTA|20200501|M||2106-3^White^CDREC|2626 N OHIO

ST^^FRESNO^CA^93721^^H^^CA019||^PRN^H^^^559^555555||ENG||||||PHC1175||N|0

PD1||||||||02|N|||A

PID|2||6445857^^^CAA^SR||JONES^JUGHEAD^JAMES||SMITH^ROBERTA|20200501|M||2106
-3^White^CDCREC|1235 ANYWHERE

STREET^^COARSEGOLD^CA^93614^^H^^CA039||^PRN^H^^^555^22224444||ENG|||||PHC1
175||N|0

PD1|||||||||02|N||||A

#### RSP showing the return of a protected immunization record

- MSA-1 (Acknowledgement Code) = "AA" (QBP contained no errors)
- QAK-2 (Query Response Status) = "PD" ("protected data")

MSA|AA|140|

IIS | IRPH

QAK|968745|PD|Z34

QPD|Z34^Request Immunization History^HL70471|37374859||Test^Jojo^^^^^L||20140505